Safe Drinking Water Act: Blowing the Whistle to Protect the Public's Health

The Safe Drinking Water Act (SDWA) was created to keep the nation's drinking water healthy. See 42 U.S.C. § 300f *et seq*. Among other things, the act sets standards for drinking water, prohibits the use of dangerous materials (such as lead pipes), and regulates pollution discharges onto land or water that could work their way into the public water supply.

SDWA protects employees who tell the government about violations of the law, so long as they properly report the retaliation to the Department of Labor within 30 days of the retaliation. If the SDWA protects you, it is illegal for your employer to retaliate against you for telling the government about a SDWA violation. 42 U.S.C. § 300j-9(i).

Who is Covered?

The SWDA whistleblower provisions apply to all employees, public and private. It does not cover employees fired or demoted for a legitimate reason, unless retaliation was a contributing factor to the employment action, or the legitimate reason was merely a pretense.

What Activity is Protected Against Retaliation?

The SWDA protects employees who file or institute a complaint alleging a violation of the SWDA, or who testify or are about to testify in a proceeding under the SWDA. In all states except those on the Gulf Coast, it also protects employees who complain of a SWDA violation to a supervisor. *Compare Passaic Valley Sewerage Com'rs v. U.S. Dept. of Labor*, 992 F.2d 474 (3rd Cir. 1993) (holding that the Clean Water Act, which has identical whistleblower language to the SWDA, protects purely internal whistleblowing) *with Macktal v. U.S. Dept. of Labor*, 171 F.3d 323, 327-29 (5th Cir. 1999) (holding that statute with identical language did not protect purely internal complaints).

What Must a Plaintiff Do to Prevail?

A successful complainant must prove the following by a preponderance of the evidence, meaning that it must be more likely than not that:

- 1. The employee engaged in protected activity;
- 2. The employer knew of the employee's reporting/protected activity;
- 3. The employer subjected the employee to unfavorable personnel action; and
- 4. The employee's protected activity was a "motivating factor" to the employer's decision to take unfavorable personnel action against the employee.

Complaints are made to the Department of Labor, and must be made within 30 days of the adverse employment action.

What is the Employer's Burden of Proof?

In order to avoid liability, the employer must demonstrate "clear and convincing evidence" that it would have taken the same unfavorable personnel action against the employee in the absence of the employee's protected activity.

What Retaliatory Acts are Prohibited?

The SWDA prohibits any unfavorable personnel actions taken by an employer in retaliation for protected activity which have a negative effect on the employee's terms, conditions, or privileges of employment. "Unfavorable personnel actions" can include, but are not limited to:

- a. Termination of employment;
- b. Demotion;
- c. Denial of promotion;
- d. Failure to pay overtime;
- e. Failure to hire/rehire;
- f. Intimidation or other physically or verbally threatening behavior;
- g. Unwarranted discipline;
- h. Unwarranted negative performance review;
- i. Suspension or other forced leave;
- j. Reduction in pay or hours;
- k. Denial of benefits;
- 1. Reassignment that negatively impacts promotion prospects, seniority, or other benefits;
- m. Blacklisting; or
- n. Alteration of job duties (removal or excessive addition).

What Remedies Are Available to a Successful Claimant?

If the Department of Labor finds that the evidence supports your whistleblower claim under the SWDA, you may be entitled to remedies that include:

- Reinstatement with previous seniority and benefits
- Back pay for lost wages, with interest
- Compensatory damages for harms like emotional distress and pain and suffering
- Other possible relief to make the employee whole, including attorneys' fees.

How Do I Decide Whether and How to Report a violation of the Safe Drinking Water Act?

Whether to report concerns about violations of the law – and, if so, when, how and to whom – can be a very difficult decision for an employee, as blowing the whistle can have negative consequences. However, the law provides strong protections, and employees who raise these concerns can look to a number of resources for assistance. If you are thinking about reporting such concerns, or if you already have and are facing retaliation, contact the experienced whistleblower lawyers at Katz, Marshall & Banks, LLP for an evaluation of your whistleblower case with no further obligation.